

Faculty Senate Minutes #236

John Jay College of Criminal Justice

November 20,2002

3:15 PM

Room 630 T

Present (24): Desmond **Arias**, Marvie Brooks, Orlanda Brugnola, Leslie Chandrakantha, Effie Cochran, Richard Culp, Edward Davenport, P. J. Gibson, Carol Groneman, Robert Hair, Judith Hawkins, **Ann** Huse, Karen Kaplowitz, Andrew Karmen, Tom Litwack, Amie Macdonald, Evan Mandery, Rick Richardson, Jodie Roure, Cary Sanchez, Ellen Sexton, Liliana Soto-Fernandez, Davidson Umeh, Margaret Wallace

Absent (15): Yahya Affinnih, Philip Bonifacio, Jane Davenport, Kirk Dombrowski, Joshua Freilich, Amy Green, Edward Green, Max Kadir, Kwando Kinshasa, Gavin Lewis, Adam McKible, Lorraine Moller, Altagracia Ortiz, Carmen Solis, Robin Whitney

Invited Guests: Dean Rubie Malone, Dean James Levine, Dr. Judith Bronfinan

Agenda of the November 20,2002, meeting

1. Announcements from the chair
2. Adoption of Minutes #235 of the November 5,2002, meeting
3. Invited guests: Dean R. Malone, Dean Levine, Dr. Bronfinan: Middle States Self-study Process
4. Motion to hold a special meeting Wed., November 27, to discuss the Middle States Draft Report
5. Resolution endorsing in principle the Student Council's proposal that JJ have 2 summer sessions
6. Election of the VP of the Faculty Senate to serve as an alternate member of the CPC
7. Election by the Faculty Senate of 3 of the 4 faculty members of the Phase II Planning Committee
8. Election to fill a vacant University Faculty Senate delegate seat
9. Declaration of a vacant adjunct at-large seat on the Faculty Senate and decision as to action
10. Proposal that the Senate, with the Govt. Dept, celebrate Professor Jill Norgren – first Senate President, a founder of the Senate, a Senate member since – on occasion of her retirement
11. Proposed followup Resolution on stabilization of enrollment: that stabilization be achieved by increasing graduate and bacc. degree enrollment and decreasing associate degree enrollment
12. Discussion of the agenda of the November 21 College Council meeting

1. Announcements from the chair [Attachment A]

President Kaplowitz announced that she has been appointed by Chancellor Matthew Goldstein and by Board of Trustees Vice Chair Benno Schmidt to serve on the Search Committee for the position of CUNY Vice Chancellor for Budget and Finance. The Committee, on which she is the only faculty member, is chaired by President Eduardo Marti and includes Trustee Joseph Lhota (Chair of the BoT

Fiscal Affairs Committee), President Marlene Springer (Chair of the Council of Presidents Fiscal Committee), Graduate Center VP for Administration and Finance Sebastian Persico, and three others.

Senator Orlanda Brugnola reported that at a recent fire safety meeting for volunteer fire marshals, such as herself, and others, everyone was laudatory about the letter sent by Karen on behalf of the Faculty Senate to the full-time and adjunct faculty about the faculty's role and responsibilities in the case an evacuation alarm is sounded. Written announcements were also provided [Attachment A].

2. Adoption of Minutes #235 of the November 5,2002, meeting

By a motion duly made and carried, Minutes #235 of the November 5 meeting were approved.

3. Invited guests: Dean of Planning Rubie Malone, Dean of Graduate Studies James Levine, Dr. Judith Bronfman: Discussion of the Middle States Self-study process

Dean Rubie Malone, Dean James Levine, and Dr. Judith Bronfinan were welcomed and introduced.

President Kaplowitz explained that Dean Malone is in charge of John Jay's Middle States Re-Accreditation process which takes place every 10 years; Dean Levine is a member of the 7-member Executive Committee of John Jay's Middle States Steering Committee; and Dr. Judith Bronfman, of the English Department, is editing the College's Self-study Report. The many members of the Faculty Senate who are serving on Middle States Self-study Committees were acknowledged.

Dean Malone thanked the Senate for meeting with her and her colleagues. They will also be meeting with students and with HEOs and have open hearings on November 25 and on November 26. When the Middle States site-visit team comes to John Jay on April 6 through April 9, they will want to meet with the Senate, with HEOs, with students, with administrators. In the meantime, Dr. Les Kennedy, Dean of Criminal Justice at Rutgers University, who is the head of the site-visit team, will come to John Jay on December 11 to meet with different groups to discuss how the team will proceed in April and to look at space, talk about equipment, discuss where they will be housed, look at the campus, and get a sense of the College.

Dean Malone reported that Dr. Kennedy will look at the Self-study Report and advise us as to how the document can be strengthened. And so, she said, on December 11, we have to have as good a version of the Self-study as we can but it will not be the final document because we will be able to make adjustments based on Dr. Kennedy's comments. Dean Malone noted that the Draft Self-study Report was placed on John Jay's Intranet and, in addition, each department received 5 copies (and very large departments received more than 5) and each Chair was directed to give those copies to department members who serve on key committees and bodies. Each chair was also asked to make copies for department members unable to download the Report. The department chairs have not reported any distribution problems, she added.

Discussion about the self-study process began two years ago, Dean Malone said. People volunteered to be on the self-study committees and people were invited to chair the committees. Drafts of chapters were turned in and after the second draft a committee that met during the summer

reviewed the document.

Dean Malone emphasized that Middle States makes it emphatically clear that it does not want a college's Self-study Report to be a descriptive document but rather an analytical document and one that is balanced. She said the Steering Committee is concerned about getting input from members of the John Jay community and that, furthermore, the input should be of an analytical nature.

Members of the Faculty Senate will receive a letter informing them of the time they have been scheduled to meet with Dr. Kennedy on December 11. Dr. Kennedy will begin the day by meeting with President Lynch. As for the April visit, that will begin with a small reception on the evening of Sunday, April 6, which is the first evening that Dr. Kennedy will be here with his team.

Dean Malone said she wants the Faculty Handbook to be redone because it has not been revised since 1992. President Kaplowitz recalled that our Faculty Handbook was first created in 1992 in response to the fact that we had no faculty handbook when the last Middle States accreditation process was about to take place 10 years ago.

Senator Tom Litwack asked two questions: he and Karen only learned the previous day that the deadline for comments is December 3 – they, like others, had thought the Report was to be completed during January – and so the Senate has scheduled much of its Friday, December 6, meeting to discussing and providing commentary about the Self-study Report and, therefore, he asked, why the December 3 deadline had not been announced earlier. Second, because the Senate is not prepared to discuss the Self-study Report today, not having known about the deadline, Senator Litwack asked whether the deadline for comments could be extended to Monday, December 9, so the Senate could transmit its comments immediately following its Friday, December 6, meeting.

Dean Malone said that it is true that the Report is to be completed some time during January and then sent to Middle States and so that is when she must have the final document finished but, she explained, she needs all comments by December 3 in order for those comments to be incorporated into the draft document in time for Dr. Kennedy's visit on December 11. Senator Litwack said that the Self-study Report is the College's document and that we should not give Dr. Kennedy a College document until the Faculty Senate is able to comment on it and this is because of two reasons: first, the Faculty Senate is the College body that is the official voice of the John Jay faculty and, second, the Senate is an extremely engaged, active, and informed organization.

Dean Malone said she could speak to the Steering Committee to see if the members could meet on December 9. Dean Levine added that some members of the College were able to speak with Les Kennedy at the ASC meeting just a few days ago [November 13-16] and that Dr. Kennedy fully understands that the Self-study Report is a preliminary document. Senator P. J. Gibson asked if December 11 is a hard date and Dean Malone said yes. Dean Malone faulted the Senate for asking that the Steering Committee have an additional meeting on December 9 when the Senate is not offering to hold an emergency meeting between now and December 3 in order to meet the December 3 deadline.

President Kaplowitz replied that Dean Malone's comment is fair and that the Senate will consider holding an emergency meeting after we complete this discussion with our guests. But, she added, that when Dean Malone asked in October the dates of the November meetings of the Senate so that she could come to discuss the process, the two Senate meeting dates were given: November 5 and November 20. And, she said, Dean Malone had replied that November 5 was too early and that she and colleagues would come on November 20 for the purpose of discussing the process. Nothing was said at any time about a December 3 deadline and she only learned of it the previous day when, at a

meeting of the Council of Chairs, Dean Malone announced that deadline. Dean Malone said that she and the other members of the Middle States Executive Committee only learned of the December 3 deadline the other day.

President Kaplowitz showed Dean Malone today's Senate agenda which states that today's guests will discuss the Middle States process and that the Senate members are to read the Self-study Report for discussion at the Senate's December 6 meeting. She said that because of this schedule, which had been set by the Senate Executive Committee in good faith based on the information they had been given, the Senate members planned to read the Report by December 6, not by today.

Dean Levine said that he is sure the Steering Committee could meet on December 9 if that were necessary and Dean Malone said she would ask the Steering Committee members if they are available. President Kaplowitz said that in the meantime the Senate would today discuss the question of a special Senate meeting and that she will inform Dean Malone of the Senate's decision. In the meantime, she suggested that both the description of the Faculty Senate and the commentary about the Senate be revised so they can be accurate, adding that she would be happy to send proposed language for both sections of the Governance Chapter that discuss the Faculty Senate.

4. Motion to hold a special meeting on Wednesday, November 27, to discuss the Middle States Self-study Report

A discussion took place about the issue of whether the Senate should hold an additional, special, meeting, before December 3. Because only two or three people had yet read the Draft Report because discussion of the document was not scheduled to take place until December 6, it would be impossible to hold such a discussion at today's meeting, even if there were time left to do so.

A motion was made both to schedule a special, additional, Faculty Senate meeting from 3:15 to 5 PM on Wednesday, November 27, the afternoon before Thanksgiving, because that is the only day when nothing else is scheduled at 3:15, for the purpose of discussing the Middle States Self-study Report and also to continue discussing the Draft Report at the Senate's Friday, December 6, meeting, as originally scheduled, if such an additional discussion is needed. The motion contained the provision that the decision to hold a special meeting be conditional on the Middle States Steering Committee immediately scheduling a meeting for Monday, December 9, which can be cancelled if the Senate completes its discussion of the Middle States Self-study Report at its special November 27 meeting. The motion was adopted by unanimous vote.

5. Resolution endorsing in principle the Student Council's proposal that JJ implement a second summer school session and authorizing the Senate's Fiscal Affairs Committee to consult with JJ administrators and others about fiscal and other issues and to report back to the Senate as soon as practicable [Attachment B]

The Senate discussed the issue raised with the Senate at its last meeting, on November 5, by Student Council President Christopher Santana and Graduate Representative Francis Balducci, on behalf of the Student Council, regarding the Student Council proposal that John Jay offer two summer sessions rather than the single session that is offered. The Senate agreed that students could benefit by being provided with two sessions because students would have the opportunity of making progress

toward their degrees, could benefit students in terms of their financial aid eligibility, and could potentially enable students to carry fewer credits during the Fall and Spring semesters which could have pedagogic benefits. The Senate also spoke about the benefits to faculty who would be able to teach two summer school sessions or would have a choice of teaching either early in the summer or later in the summer, rather than the current situation which provides no choice.

At the same time, Senator Tom Litwack and President Karen Kaplowitz said that the Senate needs more information to unreservedly endorse the two summer session proposal by the Student Council. They explained that their preliminary research reveals the answers to two important questions that were not known when the issue was discussed at our last meeting. First, the number of student FTEs generated during summer session(s) is counted as part of the entire year's student FTE enrollment and that is important because the FTE enrollment determines the budget a college receives the following year. So there is no disincentive in terms of enrollment numbers and budget allocations.

The answer to the second question is that a college actually increases its revenue, that is, a college makes money, by having summer school. It turns out that each college, rather than the University, keeps the entire tuition it collects for its summer school courses. The more revenue collected, the more the college keeps. President Kaplowitz explained that all faculty who teach summer school, whether full-time or adjunct, are paid at the adjunct salary rate for their rank (an average of approximately \$2,500 per course) because full-time faculty are teaching an overload over and above their annual contractual teaching load and for that reason summer school was characterized as "a provost's dream" by a faculty member at Queens College who is a member of the UFS Budget Advisory Committee, when she asked the question at a meeting of that committee the other day.

For example, although summer school costs John Jay about \$500,000, the single session generates between \$1.2 million and \$1.8 million and the College keeps the net difference. Because she and Senator Litwack have not been able to cost out the expenses, that is, they do not yet know whether the \$500,000 cost is for personnel and fringe benefits only and other costs are additional, such as for operating the building(s) and for staffing costs, or whether the \$500,000 amount is for the entire summer school costs, and because the College administration may have other concerns, which she and Tom will inquire about, they are making a proposal in the form of a Resolution [Attachment B].

This proposal is that the Senate endorse in principle the idea that John Jay offer two summer sessions and that, furthermore, the Senate authorize the Senate's Fiscal Advisory Committee to further study this issue, consult with administrators, and report back to the Senate Executive Committee and to the full Senate at which time the Senate may decide to fully endorse the proposal of two summer sessions or might decide to decline to support the proposal.

They presented their Resolution for consideration by the Senate [Attachment B]. After further discussion, the Senate approved the Resolution by unanimous vote [Attachment B].

6. Election of the Vice President of the Faculty Senate to serve as an alternate member of the College Comprehensive Planning Committee

The Vice President of the Senate, Kirk Dombrowski, was elected to serve as an alternate member of the Comprehensive Planning Committee. The election was by unanimous vote.

7. Election by the Senate of 3 of the 4 faculty members on the Phase II Planning Committee

On October 15, John Jay's Comprehensive Planning Committee (CPC) decided that our Phase II Planning Committee would comprise seven members, based on the information VC Macari and her Director of Space Planning Lia Gartner gave the Faculty Senate on October 8 [Senate Minutes #233]. The CPC, which is chaired by Provost Wilson, decided that those 7 would be 3 faculty, 3 administrators, and 1 student. Accordingly, on October 23 and 24, the Faculty Senate and the Council of Chairs each elected Professors Ned Benton, Karen Kaplowitz, and Harold Sullivan to serve on the Phase II Planning Committee, because of the elected positions they hold.

Subsequently, on November 11, Vice President Robert Pignatello, who is to chair the Phase II Planning Committee, presented the CPC with a revised proposal whereby the Phase II Planning Committee would have 4 administrators, 4 faculty (3 elected by the Faculty Senate and 1 elected by the Council of Chairs), and 1 student. The administration chose as the four administrators the four vice presidents: VP Pignatello, Provost Basil Wilson, VP Roger Witherspoon, and VP Mary Rothlein. At the CPC meeting, Student Council President Christopher Santana spoke of the need for two student members, rather than one, and VP Witherspoon supported this amendment. And so the proposed revised structure would be 10 members: 4 administrators, 4 faculty, and 2 students (as well as non-voting advisory staff, including Facilities Director Robert Huffman and Architect Ynes Leon).

President Kaplowitz reported that she had said at that November 11 CPC meeting that VC Macari and Director Gartner had informed the Faculty Senate on October 8 that the John Jay Committee, which will meet with the consultants, the architects, DASNY, and VC Macari's staff, should comprise a maximum of 5 to 7 members, with administration, faculty, and student representation, so that John Jay's voice not be diluted at these meetings. Provost Wilson and VP Pignatello responded that it is John Jay's decision as to how many people are on our Planning Committee and who they are and not anyone else's and that if we want to have a 10-member Planning Committee representing with representatives of the three groups we have the right to do so. Informed by this statement, the CPC adopted VP Pignatello's proposal (as amended to include a second student).

Accordingly, by unanimous vote, the Senate elected the following 3 faculty: Karen Kaplowitz, Senate President; Kirk Dombrowski, Senate Vice President; and Ned Benton, Chair, Budget Advisory Committee. The Council of Chairs has elected Harold Sullivan, Chair, Council of Chairs.

8. Election to fill a vacant delegate seat on the University Faculty Senate (UFS)

One delegate representing JJ's full-time faculty on the University Faculty Senate, Prof. Haig Bohigian, is retiring in January and, therefore, his delegate seat will be vacant from February until May. A widely announced call for candidates resulted in one candidate: Alternate UFS Delegate Effie Cochran has offered to change her status from alternate delegate to delegate until May. A motion to elect Senator Effie Cochran to fill the delegate seat was approved by unanimous vote.

9. Declaration of a vacant adjunct at-large seat on the Faculty Senate

The declaration of a vacant seat was tabled because a letter of resignation, required by the Senate Constitution, from the person who is resigning has not been received. The other three adjunct members were asked to recommend ways to fill the adjunct seat through May because, of the two other

adjuncts who ran for this year's Senate, one is unavailable and the other is no longer eligible to serve.

10. Proposal that the Senate, with the Govt. Dept., celebrate Prof. Jill Norgren, the first Senate President, a founder of the Senate, a Senate member ever since, on the occasion of her retirement

Professor Jill Norgren, a member of the Government Department, is retiring from John Jay in January. Jill was a key founder of the Senate, served as first President of the Senate from 1986-88, and has served on the Senate ever since (excluding those times she was on leave). The proposal is that the Senate in conjunction with the Government Department celebrate and honor Jill on Friday, December 6, at the conclusion of the Senate's all-day meeting. This proposal has the unanimous support of the Government Department faculty and of the Senate's Executive Committee. The Senate members expressed their delight in this proposal and approved the proposal by unanimous vote. The following Senate members volunteered to work with Professor Harold Sullivan, Chair of the Government Department, and with other members of his department, in planning the celebration and in collecting contributions for the party and gift: Senators Desmond Arias, P. J. Gibson, and Carol Groneman.

11. Proposed Resolution on stabilization of enrollment: that stabilization be achieved by increasing graduate and baccalaureate enrollment and by decreasing associate enrollment

This Resolution would be a followup of the Senate's September 25th Resolution [Senate Minutes #232] on stabilizing enrollment: this Fall, of the 2,500 new freshmen who were admitted, 62% were associate degree students; of the 2,200 freshmen who chose to enroll at John Jay and who registered this fall, 57% were associate degree students.

Senator Carol Groneman asked what happened to the policy agreed to several years ago between the faculty and the administration that a maximum of 25% of each entering freshman class be associate degree students. The answer was that the agreement has not been honored at all in the last several years, if it ever had been. Given the lateness of the hour and faculty having to leave to teach their classes, a motion to table this proposed Resolution until the following meeting was adopted.

12. Review of the agenda of the November 21 College Council meeting

No action items are on the November 21 College Council agenda except approval of minutes. The only other items are discussion of the Middle States Self-study Report and announcements.

By a motion duly made and carried, the meeting was adjourned at 5 pm.

Respectfully submitted,

Edward Davenport
Recording Secretary

&

Jodie Roue
Associate Recording Secretary

The following is a report provided to the subscribers of the UFS listserv and the John Jay Faculty Senate listserv:

November 18, 2002

Dear Colleagues,

This is a preliminary report about *CUNY's* budget situation. Further information will be provided at tomorrow night's University Faculty Senate plenary, at which our invited guest is Interim Vice Chancellor for Budget and Finance Ernesto Malave. If any of the following information proves to be incorrect, I'll post a correction, but this is my best understanding at this time. This report is prompted by the news reports that Mayor Bloomberg is calling for a cut to **CUNY** next year – FY2004 – of \$15.9 million as well as by calls and off-line emails from colleagues who have questions about various aspects of our budget situation:

As has been reported, Mayor Bloomberg had wanted a 7.5% cut in the City's contribution to CUNY's budget for this fiscal year, FY2003. That would have been a cut of \$11.7 million. Because of the *NYS* Maintenance of Effort Law, the maximum that could have legally been cut was between \$6 million and \$6.5 million of that \$11.7 million requested cut. According to the latest information with regard to FY2003, the Mayor is proposing a \$9.8 million cut to CUNY through the following cuts:

- ◇ \$5.9 million (as permitted by the Maintenance of Effort law)
- ◇ \$238,000 from the adult literacy programs
- ◇ \$250,000 from member items for the senior colleges
- ◇ \$615,000 from the Hunter campus schools (an amount if not restored by the City Council would require retrenchment of PSC members; the total budget of the Hunter campus schools is \$10 million)
- ◇ \$2.8 million from the Peter Vallone Merit Scholarship Program (which is all the funding that is left for this year because only one semester of FY2003 remains)

Total = \$9.8 million cut

The Mayor has proposed \$800 million in cuts and \$1.1 billion in increased revenues. The resulting \$2 billion in actions proposed by the Mayor would address the \$1 billion deficit this year and part of next year's deficit, which will be \$6 billion.

The Mayor's proposed cut of \$15.9 million to CUNY for next year, FY2004, is as follows:

- ◇ \$5.9 million cut of this year (of FY2003) would be folded into the base budget of *CUNY* and the full cut next year of the City's contribution would be increased to \$8.9 million, even though that would exceed the *NYS* Maintenance of Effort Law
- ◇ \$5.5 million cut from the Vallone Scholarship Program (i.e., the elimination of the entire merit scholarship program)
- ◇ \$615,000 to \$1.1 million cut from the Hunter campus schools
- ◇ \$238,000 cut from the adult literacy programs

Total = approx. \$15.9 million

ATTACHMENT A - p. 2

The question of whether Hunter would have to declare a state of financial exigency or whether a different process would be required is not clear at this time, if the cuts to the Hunter campus schools are not restored by the City Council.

The problems of the City include debt service which increases from \$2.2 billion to \$3.8 billion. Pension costs increase from \$1.7 billion to \$2.6 billion next year (and then to \$3.3 billion the following year and then to \$4.2 billion the year after that). And then there are the increases in Medicaid costs.

30% of the City funding of CUNY capital projects – that is, 30% of the funding for community college capital projects – is being moved to outer years, although projects that have been started will continue to be funded but those that have not begun will have their funding moved to outer years.

In April the Mayor will present his final FY2004 recommended budget and a lot will depend on what happens in Albany in the interim.

The community colleges are being told to increase enrollment. The general formula is that every \$100 additional tuition revenue that is collected by the community colleges through enrollment increases generates between \$5 million to \$6 million.

A great concern among many is whether *NYS* base aid to the community colleges will be decreased since there is no *NYS* Maintenance of Effort Law required of the State of New York. A decrease in *NYS* base aid to community colleges has never happened before but these are extreme times and this is something we have to watch.

As for the faculty hirings last year: 25% have been in cluster areas and 75% in non-cluster areas, although the generally hirer salary scales for cluster hirings does shift the percentage of funding for these two areas somewhat.

The *CUNY* Budget Request for FY2004 that is to be submitted to Albany calls for 300 new full-time faculty lines of which 60 would be for cluster hires. The ending of the policy of last semester free tuition is for the purpose of allocating that \$7 million saving next year for faculty hirings.

NYS expects CUNY to save \$4.6 million from the Early Retirement Initiative during FY2003 and since only 5/12 of the fiscal year remains, this will be difficult to achieve. It will be easier next year to generate the required ERI savings because we will have the full year to do so.

In answer to other questions I've received off-line and by phone: summer pay for chairs who are designated as heading 10-month or 11-month departments is to be paid for from each college's operating budget: this contract item was, in other words, not funded. But the increases in the Executive Compensation Plan (ECP) – both the salary increases for the presidents and the bonuses for presidents to allocate to their ECP members according to the determinations of each president – were and are funded by 80th Street and do not come out of colleges' operating budgets.

Karen Kaplowitz
UFS Vice Chair
Faculty Member, Board of Trustees Committee on Fiscal Policy

ATTACHMENT A - p. 3

This is a budget report provided to the subscribers of the UFS listserv and to the subscribers of the John Jay Faculty Senate listserv:

November 19, 2002

Dear Colleagues,

A few comments about my budget report of yesterday, prompted by various queries.

First, when I wrote about tuition revenue from enhanced enrollment, I was referring to the fact that the CUNY Budget Request, which was approved last night by the Board of Trustees, calls for **an** increase in enrollment of 4% to 4.5% which would generate \$21.7 million in tuition revenue. This year enrollment increased by 7% at CUNY. This has no reference whatsoever to any increase in tuition but rather to a further increase in enrollment. There has been no discussion whatsoever at the BoT Fiscal Affairs Committee about any increase in tuition.

Second, some have asked me whether faculty searches should be discontinued in light of the budget picture. My report noted that the CUNY Budget Request calls for \$15 million in FY2004 for 300 new faculty lines. The Chancellor and the Trustees keep emphasizing the fact that it is of critical importance that *CUNY* increase the number of full-time faculty, a position supported, of course, by the UFS Budget Advisory Committee and the UFS Executive Committee.

In fact, at last night's monthly meeting of the Board of Trustees, when Interim Vice Chancellor for Budget and Finance Ernesto Malave made his presentation about the Budget Request that the Board was being asked to approve, he was asked by BoT Vice Chair Benno Schmidt about the \$15 million request for 300 new faculty. Vice Chair Benno Schmidt noted that CUNY now has only 5,600 full-time faculty, down from 11,268 full-time faculty in FY1975, as a chart on Page 5 of the budget narrative in the document shows.

VC Malave explained that to meet CUNY's Master Plan goal of 70% course sections taught by full-time faculty, we would have to hire 1400 additional full-time faculty and, thus, this request for 300 new full-time faculty takes us to only 20% of our need. He called the \$15 million request a modest request. BoT Vice Chair Schmidt agreed, saying that CUNY has a "disastrously low" number of full-time faculty, that *CUNY* "eeked out" a 1% increase in full-time faculty this year, and that if the Request is funded by Albany it would result in only a 4% increase in full-time faculty. He called the request "almost a minimal step" in restoring full-time faculty, adding that he is pleased that CUNY is focused on this as its primary objective and noted the scale of the challenge because we must keep making progress to increase the size of our full-time faculty while being realistic about "the hole" we have gotten into over the past 25 years.

Chancellor Matthew Goldstein has said at many public meetings, including at many meetings of the Board of Trustees, as well as at every meeting with the University Faculty Senate and at every meeting with the UFS Executive Committee, that we must hire additional full-time faculty, that we must "grow" the size of our faculty, and that he has told the presidents that faculty who retire are to be replaced by new faculty hires.

On the other hand, because of the budget situation, when non-faculty retire, each college must determine if the position is a critically important one that must be filled and if so, the college must

ATTACHMENT A - p. 4

make the case in writing to 80th Street which will consider each petition, on a case by case basis.

At last night's Board of Trustees meeting, the Chancellor also reported that although each senior college was required to encumber 2% of its allocated budget when the allocations were made during the summer, in anticipation of possible mid-year cuts, CUNY has heard nothing to date from Albany about reductions for this fiscal year.

As for next year, he said we are all watching what NYC and *NYS* make available to CUNY, noting that we're "in for a very rough ride" and that CUNY is trying to do things in innovative ways in order to cope with the situation. He noted the directions by the Mayor to cut CUNY's budget this year by \$9.8 million and by almost \$16 million next year, adding that he's having discussions with CUNY Trustee Marc Shaw (Mayor Bloomberg's Deputy Mayor for Operations).

The Chancellor spoke of his concern about the Hunter campus schools, saying that if that situation is not righted for this year and next year, there will be "dire" consequences. He also noted his concern about the funding for the Vallone Scholarships, a program he called of great importance for *CUNY* in attracting and retaining excellent students, since students with a B average in high school who attend *CUNY* are awarded this scholarship.

Karen Kaplowitz
UFS Vice Chair
Faculty Member, BoT Fiscal Affairs Committee

Board of Trustees Committee on Fiscal Affairs – Meeting of November 6, 2002

Report provided by Karen Kaplowitz, Faculty Member, Committee on Fiscal Affairs

Committee Members present: Trustees Joseph Lhota (Chair), Nilda Soto Ruiz (Vice Chair), Valerie Lancaster Beal, Faculty Member Karen Kaplowitz, Alternate Faculty Member Bernard Sohmer.

Also present: Chancellor Matthew Goldstein, Executive Vice Chancellor Louise Mirrer, Senior Vice Chancellor Allan Dobrin, Interim Vice Chancellor Ernesto Malave, CUNY Treasurer Robert Steves. Also, Presidents Hotzler, Springer. Also, Trustee Susan O'Malley.

I. Action Items:

A. Approval of the Minutes of the September 4, 2002, meeting. [There was no quorum.]

II. Information Item: Investments

A. At the request of the Committee, a presentation was given by Oppenheimer Capital on the Investment Performance of that part of CUNY's portfolio that is managed by Oppenheimer Capital. The Committee is asking each manager to make such a presentation. Chair Lhota said that he wishes to talk with the Chancellor about establishing a subcommittee on CUNY's investments and invited members of the Committee to volunteer to join this subcommittee.

III. Report by Interim Vice Chancellor Ernesto Malave.

A. CUNY 2003-2004 Budget Request: "**An** Integrated University": Fulfilling the Master Plan. Interim Vice Chancellor Malave explained that the Budget Request, although still in draft form – some numbers may still have to be slightly adjusted – has been developed in consultation with the Trustees, with the presidents, with faculty, and with student leaders, and is based, as were the 2002-2003 and 2001-2002 Budget Requests, on the CUNY Master Plan which was approved by the NYS Board of Regents. The FY2004 Budget Request focuses on five areas critical to implementing the Master Plan and to strengthening **CUNY** :

1. Creating a Flagship Environment
2. Supporting Student Success and Academic Achievement
3. Educational Technology
4. CUNY-wide Economic Development
5. Management Information and Infrastructure

1. **Creating a Flagship Environment:**

- **Full-time Faculty** – the centerpiece of the University's Request and priority objective
- Faculty Support
- Doctoral Student Fellowships
- Instructional Equipment
- Centers and Institutes
- Diversity Initiative
- Honors College
- Library
- Strengthening Undergraduate Curriculum

2. Supporting Student Success and Academic Achievement:

- Academic Support Services
- Collaborative Programs with K-12
- Articulation and Testing
- Financial Aid
- Disabled Student Services
- Child Care

3. Educational Technology:

- CUNY Online
- Faculty Development

4. CUNY-wide Economic Development:

- Business Incubator Network
- Workforce Development

5. Management Information and Infrastructure:

- Administrative Systems Integration
- CUNY Portal
- Enterprise Resource Plan [ERP]
- E-Procurement
- Facilities Improvements
- Maintenance and Repair
- Environmental Health and Safety

BUDGET PRIORITIES (in millions of dollars):

	Senior	Community	Total
◊ Creating a Flagship Environment	23.3	7.7	30.8
◊ Student Success/Academic Achievement	8.5	4.0	12.5
◊ Educational Technology	0.8	0.3	1.0
◊ Economic Development	0.5	0.6	1.1
◊ Management Info/Infrastructure	5.8	2.4	8.2
Subtotal	\$38.7	\$14.8	\$53.5
 Mandatory Needs	 \$39.3	 \$ 9.1	 \$48.4
 Total:	 \$78.0	 \$23.9	 \$101.9

N.B. The \$30.8 m and \$12.5 m in the categories of Creating a Flagship Environment and Student Success/Academic Achievement include \$15 million for new full-time faculty positions as well as for instructional equipment and doctoral fellowships. Also the Mandatory Needs at the senior colleges are largely the result of the increase in fringe benefits, especially for health care and pensions: the increase for CUNY in FY2002 was between \$10 m and \$15 m and the increase will grow next year.

FINANCING THE REQUEST (in millions of dollars):

	Mandatory	Program	Total
State Aid	\$42.3	\$14.8	\$57.1
City Support	6.1	0.0	6.1
Revenue Enhancements	0.0	21.7	21.7
Productivity Savings	0.0	10.0	10.0
Revenue Policy Changes	0.0	7.0	7.0
 Total:	 \$48.4	 \$53.5	 \$101.0

The entire funding for the Mandatory Costs is being sought entirely from State and City support.

The Programmatic costs are from to be obtained from a combination of \$14.8 m in State aid; from \$21.7 million generated by a projected student enrollment increase of 4% to 4.5% (this year CUNY's enrollment increased by 7%); and from \$7 million derived from the phase out of the last semester free policy.

REQUESTED INCREASES NET OF BASE DISTRIBUTION (in millions):

	Senior	Community	Total
Creating a Flagship Environment	23.3	7.5	30.8
Student Success/Academic Achievement	8.5	4.0	12.5
Educational Technology	0.8	0.3	1.0
Economic Development	0.5	0.6	1.1
Management Inf/Infrastructure	5.8	2.4	8.2
 Subtotal :	 \$38.7	 \$14.8	 \$53.5
Base Redistribution	(\$14.5)	(\$2.5)	(\$17.0)
 Subtotal:	 \$24.2	 \$12.3	 \$36.5
Mandatory Needs	\$39.3	\$ 9.1	\$48.4
 Total Net Request	 \$63.5	 \$21.4	 \$84.9

Therefore, the Net Request is a \$84.9 million increase or a 5.7% increase: CUNY is asking *NYS* for a 7.2% increase in State Aid for CUNY and is asking *NYC* for a 3.9% increase in City aid for CUNY and is planning for a 4.1% increase in tuition revenue— derived from a 4% to 4.5% increase in enrollment and from other revenues.

Summary:

The 2002-2003 Adopted Budget for CUNY was \$1.278 billion

The 2003-2004 Budget Request is for \$1.563 billion.

The Mandatory Changes are a \$48.4 million increase which is a 3.3% increase.

The Program Changes are a \$36.4 million increase which is a 2.5% increase.

The Total Requested Change is for \$84.9 million which is a 5.7% increase.

Asked about the City's 7.5% reduction to City agency budgets which was increased by another 2% last week, Interim Vice Chancellor Malave explained that *CUNY* is expecting a maximum \$6.5 million cut to the community colleges rather than the original \$10 million cut that had been announced and the reason is because the State Law requiring Maintenance of Effort in terms of the City's contribution compared to the previous year permits the City to cut its funding by a maximum of \$6.5 million.

Chancellor Goldstein said that while enrollment increases are anticipated and planned, some colleges have reached the physical limit this year in terms of the numbers of students they can enroll and he said that he expects other colleges to be at their physical limit next year.

The detailed allocations for senior and community colleges for each of the 24 categories listed above can be found in the Budget Request document, copies of which can be obtained from the UFS Office.

Chair Joseph Lhota praised Interim Vice Chancellor Malave's Budget Request document and presentation. Trustee Lhota concluded the meeting by stating that the Board's Fiscal Committee will have to hold many meetings over the year as the budget picture becomes clearer and changes and that the Committee will have to make many very difficult and important decisions.

Submitted by,
Karen Kaplowitz
Faculty Member, BoT Fiscal Affairs Committee

ATTACHMENT B

Faculty Senate Resolution

Regarding A Possible Second Summer School Session

Whereas, The President of the Student Council, Mr. Christopher Santana, and the Graduate Student Representative, Mr. Francis Balducci, spoke to the Faculty Senate on November 5, about the Student Council's recommendation that the College add a second summer session to John Jay's current single summer session, and

Whereas, The Student Council President and the Graduate Student Representative presented a strong and well-documented case for the Student Council recommendation and supported their recommendation with relevant information about other CUNY college practices and John Jay student opinion, and

Whereas, The Faculty Senate supports, whenever possible, the creation of programs and initiatives designed to enhance the ability of John Jay students to successfully engage in their academic studies, to make progress toward their degrees, and to complete their degrees in a timely and appropriate manner, therefore be it

Resolved, That the Faculty Senate support, in principle, the addition of a second summer session to be added to the current single John Jay summer session, and be it further

Resolved, That the Faculty Senate authorize the Faculty Senate's Fiscal Advisory Committee to engage in discussions with appropriate members of the John Jay administration and others for the purpose of evaluating, to the Fiscal Advisory Committee's best ability, the feasibility, fiscal and otherwise, of adding a second summer session, and be it further

Resolved, That the Faculty Senate's Fiscal Advisory Committee report its findings and recommendations to the Faculty Senate Executive Committee and to the Faculty Senate as soon as practicable.

Adopted by Unanimous Vote on November 20, 2002